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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gregory S. Kelley

Confirmation No.: 9566

Serial No.: 10/821,237

Examiner: Unknown

Filing Date: April 8, 2004

Group Art Unit: 3763

Docket No.: 1001.1753101

Customer No.: 28075

For: CUTTING BALLOON CATHETER AND METHOD FOR BLADE MOUNTING

TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 21st day of October 2004.

By

David M. Crompton

We are transmitting herewith the attached:

- ☐ Amendment
☐ No additional claim fee required
☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 44=	\$	X 88 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 150 =	\$	+ 300 =	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed. Itemization:


Fee Code _____ \$
Fee Code _____ \$
Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND TWO CITED NON-U.S. PATENT/PUBLICATION REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

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Minneapolis, MN 55403-2420
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PATENT

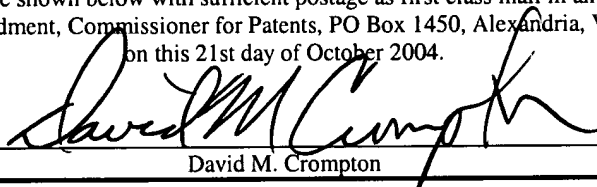
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Serial No.: 10/821,237 Examiner: Unknown
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INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
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By


David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

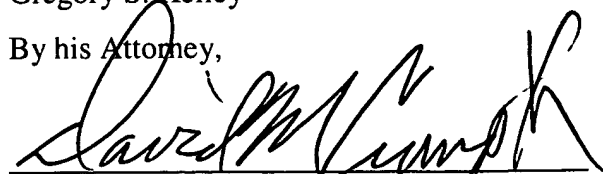
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

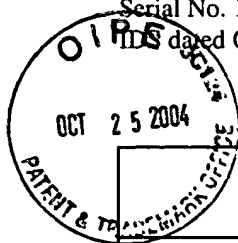
Gregory S. Kelley

By his Attorney,



David M. Crompton, Reg. No. 36,772
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Minneapolis, MN 55403-2420
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Facsimile: (612) 359-9349

Date: 10/21/04



FORM PTO-1449		Atty. Docket No.: 1001.1753101	Serial No.: 10/821,237
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Gregory S. Kelley	
		Confirmation No.: 9566	
		Filing Date: April 8, 2004	Group Art: 3763

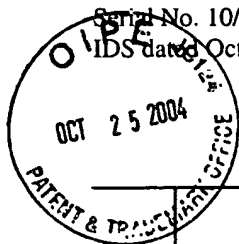
U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	2003/0163148	08/28/2003	Wang et al.	
AB	5,074,841	12/24/1991	Ademovic et al.	
AC	5,178,625	01/12/1993	Groshong	
AD	5,350,361	09/27/1994	Tsukashima et al.	
AE	5,425,712	06/20/1995	Goodin	
AF	5,456,666	10/10/1995	Campbell et al.	
AG	5,458,572	10/14/1995	Campbell et al.	
AH	5,478,319	12/26/1995	Campbell et al.	
AI	5,649,941	07/22/1997	Lary	
AJ	5,718,684	02/17/1998	Gupta	
AK	5,743,875	04/28/1998	Sirhan et al.	
AL	5,759,191	06/02/1998	Barbere	
AM	6,110,192	08/29/2000	Ravenscroft et al.	
AN	6,283,743	09/04/2001	Traxler et al.	
AO	6,562,062	05/13/2003	Jenusaitis et al.	
AP	6,602,265	08/05/2003	Dubrul et al.	
AQ	6,632,231	10/14/2003	Radisch, Jr.	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation Yes No
AR		EP 0 792 656 A1	09/03/1997	Europe	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AS		Lary, Banning G., "A Method to Create and Correct Stenosis of a Coronary Artery," <u>Archives of Surgery</u> , November 1966, Vol. 93, pp. 828-830.

EXAMINER:

DATE CONSIDERED:

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